

# Curvature effect on the interaction between folded graphitic surface and silver clusters

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Evidence of curvature effects on the interaction and binding of silver clusters on folded graphitic surfaces has been shown from both experiment and theory. Density functional theory (DFT) calculations within the local density and generalized gradient approximations have been performed for the structural relaxation of both Ag and Ag<sub>2</sub> on curved surfaces, showing a crossover from chemical to physical behavior. Using Lennard-Jones potential to model the interaction between a single cluster and the graphite surface, evidence is found for the curvature effect on the binding of silver nanoparticles to folding graphitic surfaces. The theoretical results are compared to scanning electron microscopy and atomic force microscopy images of samples obtained from preformed silver cluster deposition on carbeneous substrates exhibiting anisotropic pleat structures.

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## I. INTRODUCTION

In the quest for nanoscale science and technology, interaction and diffusion of adatoms, molecules, and nanoparticles on surfaces attract enormous interest due to their relevance in the construction and the stability of new nanoarchitectures. Most studies of adatom diffusion have focused on flat surfaces and it is only since a decade ago that the study of diffusion on deformed surfaces has opened up new perspectives in anisotropic diffusion. It has been shown that the interaction of silver atoms with carbon nanotubes (CNTs) is curvature specific.<sup>1</sup> Both experiments and simulations pointed out that convex surfaces, such as those on the outside of carbon nanotubes, enhance binding of silver atoms. In contrast, our recent experiment on silver cluster deposition on folded graphite shows evidence of repulsive barriers for convex bends.<sup>2</sup>

On graphite surfaces, metal clusters of up to a few thousand atoms are known to be highly mobile. They aggregate into fractal islands<sup>3,4</sup> through an isotropic diffusion of clusters on flat terraces, which has been understood using a diffusion limited aggregation (DLA) model.<sup>5</sup> The islands with anisotropic morphologies obtained on curved surfaces reveal anisotropy in cluster diffusion, which was attributed to surface curvature. In fact, the weak interaction between two adjacent graphene sheets shows evidence of pleats, observed via atomic force microscopy (AFM) imaging techniques. These pleats destroy the homogeneity of the graphite surface, which should affect cluster mobility and thus change the island patterns.<sup>2</sup> Understanding the role of the surface curvature on cluster mobility becomes of fundamental interest for building new architectures. However, nothing is known on the mechanism underlying the diffusion, and in particular the binding interaction between the cluster and folded graphite has not been studied. Two main questions need to be answered to fully understand and predict the final growth pattern from nanoparticle diffusion and aggregation on surfaces. Since atoms and clusters diffuse with opposite behaviors on convex curvature, at which cluster size does the transition from atom to cluster occur? And, for clusters, how does the

cluster-surface interaction depend on the surface curvature?

In this Brief Report, we show evidence of a curvature effect on the interaction between silver nanoparticles and folding graphitic surfaces, both experimentally and theoretically. We show that the islands grown from silver nanocluster deposition on graphite remain fractal on long-range undulated surfaces, as it is for flat terraces, whereas those grown on more concave region of the pleats are elongated structures that are located in the valley of the pleat. Several levels of theoretical methods, from quantum mechanics to continuum model, have been used to address various aspects of the problem. Using density-functional theory (DFT) we note that the binding energy of an atom and a dimer on a perfect carbon nanotube behave in opposite ways. For larger clusters, using a Lennard-Jones potential to model the interaction between a single cluster and the graphitic surface, we provide quantitative information on binding energy changes as function of surface curvature. The theoretical results fully explain the experimental observations on cluster deposition on carbeneous substrates.

## II. EXPERIMENT

In our experiments, a distribution of neutral silver clusters with a mean diameter of 3 nm and half-width at half maximum of 0.5 nm is deposited at thermal energy on folded graphite. The low impact energy of 0.05 eV/atom, as compared to the Ag-Ag (Ref. 6) binding energy 1.2 eV, makes the fragmentation of the impinging clusters unlikely. They diffuse on the surface as a whole and grow into islands. The island morphology of the samples is separately analyzed by scanning electron microscopy (SEM) and tapping AFM. On graphite terraces the clusters aggregate to fractal islands anchored on point defects as described before.<sup>3,4</sup> In this Brief Report we focus on cluster deposition on curved graphitic surfaces. The pleats are typically many  $\mu\text{m}$  long and resemble macroscopic drapery,<sup>7</sup> even though the graphite is mostly faceted like graphite polyhedral crystals as drawn in Fig. 1(a). We focus on three-faced pleats, whose width

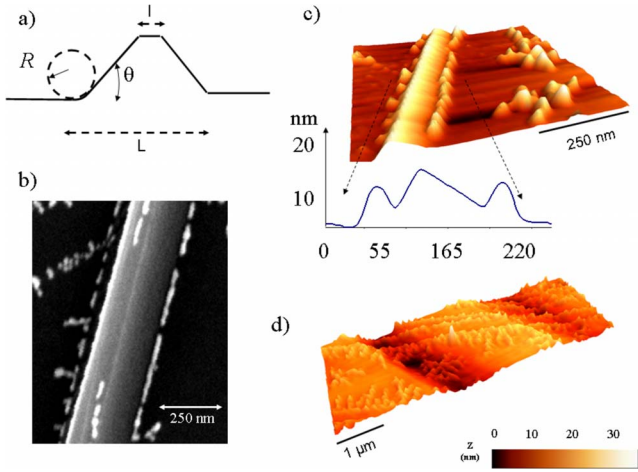


FIG. 1. (Color online) (a) Schematic of a pleat with the top width  $l$  and bottom width  $L$ , as well as its concave radius of curvature  $R$  and angle  $\theta$ . (b) and (c) SEM and AFM images of a graphite pleat of 250 nm width after cluster deposition. Notice that islands grow only on the top faces and convex valley.  $\theta$  equals  $18^\circ$  and  $7^\circ$  for the concave and convex bends, respectively (note that the pleat is asymmetric and the convex bends were not measured) (d) AFM image of a larger pleat, few  $\mu\text{m}$  width and comparable height to (c). In this case the angle of curvature is  $3^\circ$  and fractals patterns are not affected by the curvature.

ranges from 100 nm to a few microns. Atomic force microscopy reveals that their height ranges from 10 to 35 nm, and the bend angles  $\theta$  of the pleats is quite low—typically range between  $3^\circ$  and  $35^\circ$ . Although the angles of the pleats are quite well defined from their AFM profiles, the estimated values of the radius of curvature are less accurate and are limited by the size of the AFM tip—typically  $\sim 10$  nm. Figures 1(b) and 1(c) show typical SEM and AFM images of a graphite pleat of 250 nm width after silver cluster deposition. As described earlier,<sup>2</sup> the clusters aggregate to linear islands in the concave bends of the pleat, in which they are trapped, but not demobilized as on point defects or step edges. We reported further that convexly bent areas act as effective repulsive barriers for the cluster diffusion, which the clusters cannot pass by their thermal diffusion. Such potential barriers are therefore at least 25 meV high (thermal energy). By contrast, the islands grown on slightly curved graphite show isotropic fractal morphology that settle with the shape of the graphitic surface [Fig. 1(d)]. This indicates that cluster mobility is not affected by graphite curvature of small angle  $\theta$  equal to  $3^\circ$ .

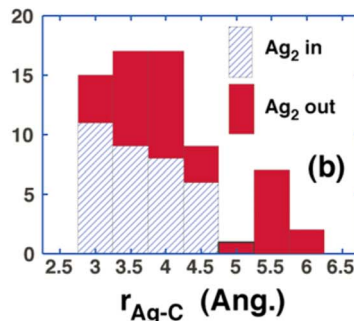
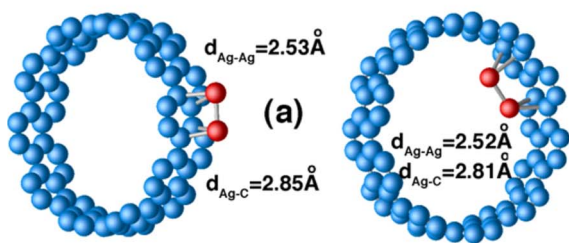


FIG. 2. (Color online) (a) Adsorption of  $\text{Ag}_2$  on the outer and inner surfaces of a (10,10) CNT,  $d_{\text{Ag-C}}$  is the average of four nearest Ag-C distances. (b) distribution of the first 33 Ag-C distances, the filled box corresponds to  $\text{Ag}_2$  outside the CNT (left in panel a), and shaded outside the CNT (right in panel a).

III. DFT CALCULATION

To compare the behavior of atoms and clusters on curved surfaces, we start by calculating binding energy of a silver atom and dimer on the curved graphite surface. We used perfect carbon nanotubes to model the curved surface. The electronic structure and structural relaxation calculations were performed using density-functional theory<sup>8</sup> within the local density approximation (LDA) and generalized gradient approximation as implemented in the Quantum-ESPRESSO (Ref. 9) package. In our calculations, plane-wave basis sets, Perdew-Burke-Ernzerhof exchange-correlation functional<sup>10</sup> and LDA potential, and Rappe-Rabe-Kaxiras-Joannopoulos ultrasoft pseudopotentials<sup>11</sup> have been employed. We used nonlinear core corrections for the Ag atoms, with the  $3d$  state included in the valence. The use of ultrasoft pseudopotentials enabled us to use an energy cutoff of 32 Ry for the plane-wave basis, while the density cutoff was taken to be 400 Ry. The Brillouin zone was sampled by  $1 \times 8 \times 1$  special  $k$  points using the Monkhorst-Pack scheme,<sup>12</sup> and a Gaussian smearing of width  $\sigma=0.001$  Ry was used for electron occupations.

Our LDA results show that the Ag atom energetically prefers the convex surface (outside) of a (10,10) CNT by  $\sim 0.1$  eV. The  $\text{Ag}_2$  dimer is more strongly bound to the concave surface of a (10,10) CNT by  $\sim 0.2$  eV, in contrast to the single atom. We have confirmed these results using the generalized gradient approximation, which yields a similar trend. The unbound atom prefers the convex surface where the stretched C-C bonds allow for some amount of chemical binding. The dimer, on the other hand, displays physisorption behavior. The concave surface provides a closer area of contact, as illustrated in Fig. 2(b), and thus higher binding.

For single Ag atoms, the possibility for chemisorption needs to be taken into account explicitly. A further argument for the physisorption picture for  $n \geq 2$  comes from the magnitude of the binding energy. To date, there is no direct experimental data on the desorption energy of a Ag atom or cluster on a graphite surface. Theoretical calculations give values of binding energy and bond length with large error bars (a few tenths of eV). We have performed LDA calculations for a  $\text{Ag}_{20}$  cluster on a flat graphite sheet, yielding a binding energy of 1.20 eV. Furthermore, the cluster sits a distance that is large compared to the Ag-Ag and C-C bonds. The clusters thus are relatively weakly bound, again confirming the validity of a physisorption model, as opposed to a chemisorption model.

IV. MODEL CALCULATION

To model the cluster on the graphite surface, we go through successive steps of approximation. First, we assert

that the atomistic detail can be neglected, and that the silver cluster and graphite surface can be modeled by a solid sphere and a continuous sheet, respectively. The cluster is far enough away from the sheet such that the effect of including atomistic detail on the quantity we are interested in, namely, the binding energy, will be minimal. Furthermore, the sphere can be replaced by a single point at the sphere’s center, again without any major loss of detail. To confirm these assertions, we have calculated one of the curves below with full atomistic detail, and obtain essentially the same result. We also confirmed that the presence of a second layer of graphene, as is the case in graphite, does not affect the calculations.

We use a standard Lennard-Jones potential<sup>13</sup> to model the interaction between a single cluster and the graphite surface, scaled to make it dimensionless,

$$V(\vec{R}) = \frac{1}{4\epsilon} \frac{1}{A} \int d^2r \frac{\sigma^{12}}{|\vec{r} - \vec{R}|^{12}} - \frac{\sigma^6}{|\vec{r} - \vec{R}|^6}, \quad (1)$$

where  $\epsilon$  is the interaction energy,  $\sigma$  is the interaction distance parameter,  $\vec{R}$  denotes the cluster position, and  $\vec{r}$  is integrated over the infinite surface. All distances can simply be scaled by  $\sigma$ , so the absolute value does not give quantitatively different results. We used this model to study the interaction between the cluster and a pleat in the surface. The pleat was modeled by two straight sheets at the pleat angle  $\theta$ , inscribed by a cylinder segment of varying curvature  $\kappa$  to soften the sharp angle (see Fig. 3).

Figure 3 shows the potential for a 15° bend, inscribed with a cylinder of  $\kappa=1/2^{1/6}\sigma$ . The concave bend shows a minimum relative to the flat pieces at the edge of the plot, which corresponds to stronger binding. The minimum occurs due to increased surface area for interaction as clusters approach the pleat. For the convex bend we observe the opposite, a decrease in binding due to decreased nearby surface area. To make this more quantitative, we considered the binding energy relative to the flat surface as a function of curvature. We define the relative binding change  $\Delta = E_{curve}/E_{flat} - 1$ , where  $E_{flat}$  is the energy minimum found for a flat surface. Figure 4 shows  $\Delta$  as a function of the cylinder curvature  $\kappa$ .

For very low curvature (large cylinder radius), the binding energy of both concave and convex bends approach the flat result. In the limit of zero curvature, they are identical. In the limit of large curvature (small radius), there is strong enhancement of binding for  $\theta > 0$ , and suppression for  $\theta < 0$ . The inset shows that, as a function of  $\theta$ , there is a binding energy change for a wide range of curvatures. Furthermore, it is approximately symmetric about the origin—the enhancement corresponding to a concave bend is roughly equal to the suppression corresponding to a convex one. For the ranges of angles observed in experiment, the enhancement or suppression ranges from 2% to over 10%.

V. DISCUSSION

The calculations show that typical bends found in experiments can either enhance or suppress the total interaction by up to 15% compared to a flat surface. The atomistic calcula-

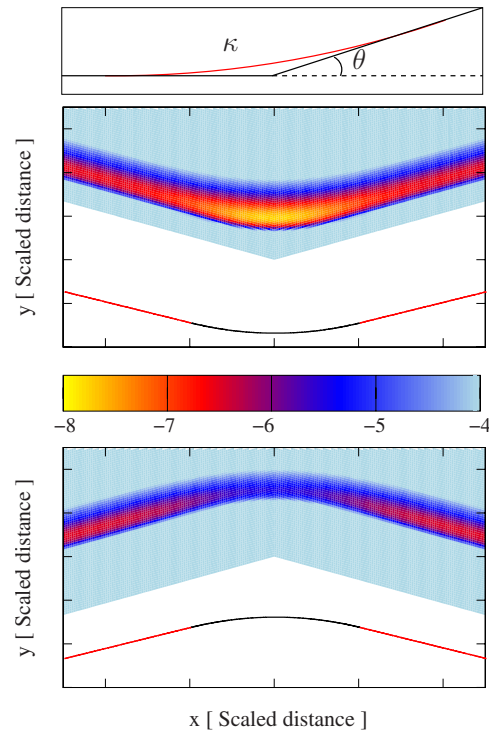


FIG. 3. (Color online) Top: diagram of model bend. Middle: the potential surface, scaled by  $4\epsilon$  for a  $\theta=15^\circ$  concave bend. For clarity only the values around the minimum are colored. The lines near the bottom show the cylindrical segment ( $\kappa=1/2\sigma$  for these plots) (red) and the straight planes (black) used to model the bent surface. All distances are scaled by  $\sigma$ . Bottom: same as middle for a convex bend.

tion indicates that the binding is roughly 1.5 times larger than the values calculated using the various approximations described above. Our DFT calculations indicate a total binding of around 1 eV for  $Ag_{20}$  on the planar graphitic surfaces, which is comparable to previous theoretical studies.<sup>14,15</sup>

We use this value as a rough lower limit for bigger clusters such as  $Ag_{500}$ , as used in our experiment. Based on this, the calculations show that there is a minimum bend angle required to trap the clusters in the bend. Energetically, the trapping starts between 2% and 4% enhanced binding (at

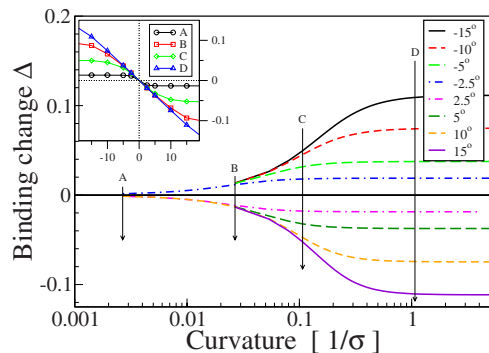


FIG. 4. (Color online) Semilogarithmic plot of  $\Delta$  (see text) as a function of cylinder curvature. The pleat angle  $\theta$  increases monotonically from  $-15^\circ$  to  $15^\circ$ , top to bottom. Inset: constant-curvature cuts as a function of  $\theta$ .

room temperature). This corresponds to a bend angle between  $3^\circ$  and  $5^\circ$ , as long the radius of curvature of the bend does not exceed ten times the cluster radius, or about 15 nm for  $\text{Ag}_{500}$ . Similarly, convex bends with corresponding parameters cause effective barriers that cannot be traversed by cluster diffusion.

The results of our model calculation agree qualitatively with the experimental observation shown in Fig. 1. When clusters moving on the graphite surface encounter a concave bend of a pleat, they are trapped by the enhanced binding (potential well relative to the flat surface). They now have to diffuse in the potential well along the bend and aggregate to linear islands. Clusters landing on top of a pleat that has a flat surface are unable to overcome the barrier at the convex bends, and are trapped on top of the pleat where they have to aggregate.

By contrast, clusters moving on graphitic surfaces with an overall small angle of curvature do not “see” the curvature and aggregate as shown on Fig. 1(d). Our DFT calculations

show that the bonding of single silver atoms is oppositely influenced by the surface curvature. Consequently, the manner in which bends in graphite affect atoms and clusters is qualitatively different. The DFT calculations further show that silver dimers already behave clusterlike and physisorb onto the curved surface. As the cluster size is increased further, only the total binding, and therefore the effective wells or barriers, caused by bends in graphitic pleats increases. For clusters with 500 silver atoms the potential wells and barriers are high enough to be used as effective guides or traps for cluster diffusion and aggregation, opening new routes for controlling anisotropic diffusion at nanometer scale.

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